Top Financial Advisers

Selected by NABCAP and sorted alphabetically

Advisory Practice Name	Firm City, State Phone	Average assets under manage-ment per client	Average number of clients per adviser / advisers to support staff	Credentials — Top 5 specialties
Albert B. Woodward Jr.	Woodward Wealth Management Group Inc. Greenwood Village, Colo. 303-355-0556	$8,670,000	64 2:2	CFP — HP, EP, PM, CM, PA
Arapaho Asset Management LLC	Centaurus Financial Inc. Denver, Colo. 800-834-5543	$865,000	50 3:4	n/a — RP, FP, HP, RS, RM
Atlantic Trust	Atlantic Trust Denver, Colo. 720-221-5000	$7,500,000	23 7:10	CFA, CFP — IM, FS, EP, CM, AM
Benton & Company	Lincoln Financial Advisors Denver, Colo. 303-714-4748	$1,000,000	60 1:2	CFP — RP, FP, IM, PP, FS
Brown & Tedstrom Inc.	LPL Financial Denver, Colo. 303-863-7231	$2,400,000	90 2:14	CFP — CM, FS, RP, IM, FP
BSW Wealth Partners	BSW Wealth Partners Boulder, Colo. 303-444-9696	$2,130,000	50 5:4	CFP — FP, IM, FS, EP, CM
Capital Consulting	LPL Financial Greenwood Village, Colo. 303-793-3202	$535,000	206 4:5	CFP — FP, SP, IM, RM, CM
Cherry Street Partners	Cherry Street Partners Denver, Colo. 303-399-9090	$1,000,000	60 4:1	CFP, CIMA — FP, BP, RS, EP, CM
Client Centric Consulting	UBS Institutional Consulting Denver, Colo. 303-689-2649	$4,140,000	28 3:4	CIMA — PP, FS, RM, CM, AM
Colvert/Harvey Group	UBS Financial Services Inc. Colorado Springs, Colo. 719-520-3650	$890,000	68 3:1	CFP, CIMA — RP, IM, RM, PM, CM
Cornerstone Investment Advisors LLC	Cornerstone Investment Advisors LLC Boulder, Colo. 303-545-5400	$935,000	37 4:2	CFA, CFP, CPA — RP, FP, IM, PM, CM
David A. Simon	SimonDavis Asset Management Inc. Denver, Colo. 303-837-1119	$310,000	48 7:4	CFA, CFP, CHFC, CLU — IM, RP, WP, IM, EP, PM
Denver Investments	Denver Investments Denver, Colo. 303-312-4915	$3,325,000	53 6:4	CFA, CFP — FP, IM, PM, CP, CM
Denver Retirement Partners	AXA Advisors Denver, Colo. 720-946-4350	$590,000	65 5:2	CFP, CHFC, CLU — RP, FP, WP, EP, PM
Dodds Wealth Management Group	LPL Financial Englewood, Colo. 303-539-3900	$680,000	90 3:1	CFP — FP, IM, EP, CP, CM
Ethos Financial Partners	Ameriprise Financial Thornton, Colo. 303-252-9777	$260,000	199 10:8	CFP, CHFC, CLU — RP, FP, WP, EP, CM
Foos Financial Group	NFP Securities Inc. Englewood, Colo. 303-327-8100	$200,000	350 1:3	CHFC, CLU — RP, WP, IM, RS, EP
Gallacher Capital Management LLC	LPL Financial Greenwood Village, Colo. 303-708-1640	$575,000	67 3:3	CFP, CHFC, CLU — WP, IM, EP, CM, PA
GHP Investment Advisors Inc.	GHP Investment Advisors Inc. Denver, Colo. 303-831-5000	$1,110,000	122 4:5	CFA, CFP, CPA — RP, FP, EP, PM, CM
Gill Capital Partners	Gill Capital Partners Denver, Colo. 303-296-6260	$1,000,000	50 3:4	n/a — RP, FP, WP, IM, CM
Graziano Budny Wealth Management Group	LPL Financial Aurora, Colo. 303-743-7900	$430,000	92 3:1	CFP — RP, FP, IM, PM, CM
Halbert Hargrove	Halbert Hargrove Denver, Colo. 303-573-6660	$4,040,000	23 17:3	CFA, CFP — FP, WP, HP, IM, CM
Harbor Financial Group Inc.	Schwab Institutional Boulder, Colo. 303-939-8788	$1,080,000	26 3:4	CFP — FP, IM, RM, EP, CM
Innovest Portfolio Solutions LLC	Innovest Denver, Colo. 303-694-1900	$21,010,000	15 11:14	CFA, CFP, CIMA — IM, RS, PM, CP, AM
Investment Trust Co.	Investment Trust Co. Denver, Colo. 303-778-6800	$1,935,000	28 4:3	CFA, CFP — IM, FS, PM, CM, SA
Janiczek & Company Ltd.	Schwab Institutional Greenwood Village, Colo. 303-721-7000	$2,120,000	48 3:7	CFA, CFP, CHFC — RP, FP, IM, PM, CM
Maez and Associates	Ameriprise Financial Colorado Springs, Colo. 719-599-0833	$170,000	229 4:4	CFP — RP, WP, HP, ES, CP
Mariner Wealth Advisors	Mariner Wealth Advisors Denver, Colo. 720-200-7035	$1,400,000	80 20:40	CIMA, CLU — WP, IM, BP, FS, CM
Meridian Wealth Management	LPL Financial Boulder, Colo. 720-274-1656	$540,000	100 5:3	CFP — RP, FP, IM, PM, CM
MJ Smith & Associates	Raymond James Englewood, Colo. 303-768-0007	$1,200,000	113 4:6	CFP, CIMA, CPA — RP, FP, WP, IM, CM
Nissim Lembeck Wealth Managment Group	UBS Financial Services Inc. Denver, Colo. 303-820-5010	$1,000,000	125 2:4	CIMA — CS, IM, PP, EP, CM
Northstar Investment Advisors LLC	Charles Schwab Denver, Colo. 303-832-2300	$2,090,000	40 4:4	CFA — RP, IM, PM, CP, AM
Nugent Group	UBS Financial Services Inc. Denver, Colo. 303-488-3167	$4,380,000	43 2:3	CIMA — FP, PM, LM, CM, PA
Obermeyer Asset Management Co.	Schwab Institutional Denver, Colo. 303-733-4367	$1,950,000	81 5:4	CFA — IM, RS, RM, PM, CP
The Ogard Boh Group	Robert W.Baird & Co. Denver, Colo. 303-270-6300	$790,000	119 2:2	CFP, CIMA — FP, IM, PM, CM, SA
Palm Group	Robert W. Baird & Co. Denver, Colo. 303-270-6318	$410,000	150 2:1	CFP, CIMA — RP, FP, RM, AM, SA
Pann/DeYoung Wealth Management Group	UBS Financial Services Inc. Colorado Springs, Colo. 719-520-3660	$950,000	93 2:4	CIMA — BP, RM, EP, PM, CM
Peak Capital Investment Services LLC	LPL Financial Highlands Ranch, Colo. 303-972-7786	$320,000	153 13:26	CFA, CFP, CRPS — RP, IM, RS, RM, AM
Pelican Bay Group	Morgan Stanley Smith Barney Denver, Colo. 303-572-4889	$1,200,000	166 5:8	CFA, CIMA, CRPS — IM, EP, PM, LM, CM
Private Client Reserve of US Bank	US Bankcorp Denver, Colo. 303-585-5950	$1,270,000	107 7:24	n/a — IM, PP, FS, EP, CM
R. H. Basstiaans & Associates	Ameriprise Financial Denver, Colo. 303-689-7424	$365,000	186 1:2	CFP, CHFC, CLU — RP, FP, IM, RM, EP
Sharkey, Howes & Javer	Sharkey, Howes & Javer Denver, Colo. 303-639-5100	$420,000	143 7:7	CFP, CLU — RP, FP, IM, EP, PM
Soderquist, Schmieding & Winckler	Morgan Stanley Smith Barney Centennial, Colo. 720-488-2305	$2,350,000	15 3:1	CIMA — BP, FP, FS, PM, CM
Steinberg, Grimm & Associates	Ameriprise Financial Greenwood Village, Colo. 303-221-6418	$640,000	74 3:3	CFP — RP, FP, IM, EP, ES
Stone-Segal Wealth Management Group	UBS Financial Services Inc. Denver, Colo. 303-488-3182	$1,795,000	38 2:1	CFP — FP, EP, ES, PM, CM
Sullivan & Associates	Raymond James Colorado Springs, Colo. 719-576-4500	$685,000	91 2:5	CFA, CPF — RP, FP, EP, PM, AM
Tochihara/Culberson	Morgan Stanley Smith Barney Boulder, Colo. 303-545-1844	$480,000	125 2:1	CFA, CFP — RP, FP, WP, PM, CM
Townsend Retirement Specialists	Townsend Retirement Specialists Westminster, Colo. 303-452-5986	$310,000	267 3:13	CFP — RP, FP, IM, EP, AM
Trailhead Group	Morgan Stanley Smith Barney Boulder, Colo. 303-545-1830	$1,055,000	100 2:2	n/a — FP, IM, FS, RM, ES
True North Wealth Management	UBS Financial Services, Inc. Littleton, Colo. 303-267-3011	$1,900,000	50 2:1	CFP, CIMA — RP, FP, IM, FS, PM
Wagner Wealth Management	Wagner Wealth Management Denver, Colo. 303-394-9600	$2,000,000	30 4:4	CFA, CFP — RP, FP, IM, EP, CM
Wilkins Investment Group	RBC Wealth Management Denver, Colo. 303-595-1154	$500,000	138 5:2	n/a — RP, FP, IM, EP, CM
Willenbrock Group	UBS Financial Services Inc. Denver, Colo. 303-486-8981	$1,350,000	50 2:1	CIMA — RP, IM, EP, PM, CM

Credentials:
CEP – certified estate planner
CFA – chartered financial analyst
CFP – certified financial planner
CIMA – certified investment management analyst
CAIA – chartered alternative investment analyst
CPWA – certified private wealth advisor
CHFC – chartered financial consultant
CRPS – chartered retirement plans specialist
CLU – chartered life underwriter
MCEP – master certified estate planner
CPA – certified public accountant
AIF – accredited investment fiduciary

Specialties:
RP: Retirement Planning
FP: Financial Planning
EP: Estate Planning
SP: Special Needs Planning
PP: Philanthropic Planning
BP: Business Planning
WP: Wealth Preservation/Insurance
CP: Capital Preservation
CM: Comprehensive Wealth Management
AM: Asset Allocation Management
PM: Portfolio Management
IM: Investment Management
RM: Risk Management
LM: Liability Management
RS: Retirement Services
CS: Corporate Services
FS: Family Office Services
ES: Executive Services
PA: Professional Athletes
HP: High Profiled Individuals
LC: Low-Cost Provider
SA: Separately Managed Accounts

n/a- None applicable to NABCAP

Source: NABCAP.

For methodology, see page A15..

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n/a- None applicable to NABCAP
Source: NABCAP. For methodology, see page A15.